

Please read the notes on the reverse before applying.



Transaction Form

■ I/We wish to make the following transaction in the **SCHRODER** _____ (Fill in name of Fund)

Invest \$ _____ Redeem _____ units
 Switch _____ units from above Fund to **SCHRODER** _____

■ **SETTLEMENT MODE** Cheque CPF OA CPF SA SRS

■ **MAIN APPLICANT** (Must be aged 21 years & above)

Name (Mr / Mrs / Ms) _____

NRIC / Passport No. _____ Birthdate _____

Company Reg. No. _____

Address _____

Postal Code _____

Telephone No. Home _____ Mobile / Office _____

Email _____

Nationality Singapore citizen / PR Others (Please specify) _____

Tax Status Tax resident Non tax resident

■ **JOINT APPLICANT** (If any; cash application only)

Name (Mr / Mrs / Ms) _____

NRIC / Passport No. _____ Is Joint Applicant a minor? (below age 21) Yes No

Signing Instructions for Joint Account Joint-All Joint-Alternate

■ **CPF INVESTMENT ACCOUNT No.** (OA only) _____

CPF Approved Bank (OA only) _____ CPF Account No. (OA or SA) _____

■ **SRS ACCOUNT No.** _____

■ **SRS Operator** _____

■ **DIVIDEND INSTRUCTION** Reinvest in further Units Pay by Cheque

To: **THE CENTRAL PROVIDENT FUND BOARD** (Where applicable)

CPF Ordinary Account / Special Account

I irrevocably consent to and authorise the CPF Board or its appointed agents to have access to and to request for any information regarding my account with the Managers for any purpose relating to and in connection with this application; in addition, where applicable, to

- debit my CPF Special Account with the sum of monies specified by Schroders or the amount determined by the Board for the purchase of the above Fund including any related fees, expenses and charges under the CPF Investment Scheme - Special Account (CPFIS-SA);
- credit my CPF Special Account with any income or any proceeds, that are received from Schroders in relation to my units in the above Fund.
- disclose any particulars or information whatsoever relating to or in connection with my investment with Schroders to facilitate any transactions that cannot be settled due to data discrepancies, insufficient funds or any other reasons that the Board deems fit.

I understand that the above transactions are subject to the provisions of the Central Provident Fund Act and the Central Provident Fund (Investment Schemes) Regulations, as may be amended from time to time, and also to all such other terms and conditions as may be imposed by the Board from time to time.

I hereby agree to indemnify the Board and shall keep the Board indemnified against all actions, proceedings, liabilities, claims, damages, expenses or legal costs whatsoever arising out of or in connection with the Board accepting and acting upon this authorisation.

Declaration

■ I/We acknowledge that I/we have received, read and understood the latest Prospectus relating to the subscription of Fund of which this application is made.

I/We agree to be bound by the provisions of the Trust Deed constituting the Fund as modified from time to time.

I/We acknowledge that I/we have read and fully understood the Important Terms and Conditions on the reverse of this transaction form and agreed to be bound by it.

I/We declare that the units are not being acquired or held beneficially by or for a U.S. person (please refer to Note1 (A) (x)) or in violation of any applicable law.

For Schroders Use

Fund code

Value date

O/S docs

Discount

O/S payment

Code

Verified by

For Agent Use

Branch

Attended by

Checked by

Main Applicant's Signature

Joint Applicant's Signature

Date

Schroders copy

Oct 06

IMPORTANT TERMS AND CONDITIONS

Please read these notes before completing the Application

- 1 **Application**
- A) **General**
- i) Investors should read the prospectus, obtainable from Schroder Investment Management (Singapore) Ltd ("Schroders") or its distributors, before investing. Units in the Fund are sold only on the basis of information contained in the current prospectuses. All other information or representations must be regarded as unauthorised and must not be relied on.
 - ii) The minimum initial investment is \$1,000.
 - iii) All units are issued pursuant to the provisions of the relevant Fund's Trust Deed and any deeds supplemental thereto and its prospectus.
 - iv) * Application by an individual must be accompanied by a copy of the applicant's identity card or passport.
* Application by a corporation must be accompanied by a copy of its Memorandum and Articles of Association, a list of authorised signatories and their respective specimen signatures, all of which must be certified true copies.
 - v) If you opt to hold units as Joint-Alternate Holders, the Managers are authorised to act on instructions given by any one of the jointholders.
 - vi) The Managers reserve the right to allot fewer units than the number applied for or to refuse any application without assigning any reason.
 - vii) Investors will receive a Contract Note indicating the number of units allotted, within 10 business days.
 - viii) Dividend Instruction: If no preference is indicated, dividends will be paid by cheque to the investors or in the case of CPF/SRS investment, dividends will be paid to the investors CPF Agent Bank, the CPF Board or SRS Operator (as the case may be). Where instructions to reinvest dividend are given, dividends will be reinvested at the Net Asset Value on distribution date.
 - ix) The Managers must be notified immediately in writing of any change of address.
 - x) "U.S. person" includes any natural person who is a citizen of or resident in the United States; a corporation, partnership or other business organisation organised or incorporated under the laws of the United States or any political subdivision thereof, any estate or trust which is administered by an executor or trustee who is a U.S. person or the income of which is subject to U.S. federal income taxation regardless of its source; any account (other than any estate or trust) held by a dealer or fiduciary for the benefit of a U.S. person and any partnership or corporation organised and incorporated under the laws of any foreign jurisdiction which was formed by a U.S. person principally for the purpose of investing in securities not registered under the United States Securities Act of 1933. "U.S. person" shall not include any branch or agency of a United States bank or insurance company that is operating outside the United States for valid business reasons as a locally regulated branch or agency engaged in the banking or insurance business and not formed primarily for the purpose of investing in securities not registered under the United States Securities Act of 1933. For purposes of this definition, the "United States" includes the United States of America, its states, territories and possessions and the District of Columbia. The Fund reserves the right to amend this definition of "U.S. person" without notice, as may be necessary to conform to applicable law and authoritative interpretations thereof.
- B) **Payment**
- * Cheque : Crossed & payable to RBC Dexia Trust A/c - Schroders Singapore
 - * Telegraphic Transfer : Contact Schroders for details of bank account
- C) **CPFIS-OA, CPFIS-SA & SRS**
- i) Investors will be entitled to units only after receipt of the CPF / SRS funds by the Managers notwithstanding that such units shall be deemed to have been issued on the date the application is received.
 - ii) Units will be issued in the name of
 - * the Unitholder's CPFIS Agent Bank's Nominee Company for CPFIS-OA application. Unitholders will receive notification of their holdings in the statement to be issued by their respective CPFIS Agent Banks;
 - * the Unitholder for CPFIS-SA / SRS application. Unitholders will receive notification of their transactions in the Statement of Account to be issued by Schroders / SRS Operators respectively.No certificates will be issued.
 - iii) The Managers reserve the right to recover from the applicant any expenses or loss incurred by the Managers due to non-availability of funds in the applicant's CPF OA / CPF SA / SRS Account or other reasons for rejection by CPF Agent Bank / CPF Board / SRS Operator.
 - iv) In the case of SRS accounts, first time applicants must provide a copy of their Statement of Account from the SRS Operator.
 - v) Any transaction charges imposed by the CPFIS Agent Bank / CPF Board / SRS Operator for the use of the CPF OA / CPF SA / SRS monies will be borne by the applicant.
 - vi) The SRS applicant will be deemed to have irrevocably consented to and authorised the SRS Operator to have access to and to request for any information regarding their account with the Managers for any purpose relating to and in connection with this application.

Investment Advice

Schroders has not rendered any recommendation or financial advice in respect of the purchase, sale or switch of units in any Fund. Investors should consider whether the Fund is suitable for them before purchasing, selling or switching units in the Fund. In the event of any doubt or ambiguity, investors should seek independent professional advice in relation to their purchase of units in the Fund.

- 2 **Right to Cancel**
- i) **Information about investors right to cancel this purchase application is enclosed.**
 - ii) During the cancellation period, investors may choose to either switch their units in a Fund for units in other Funds managed by Schroders or exercise their right to cancel their units. Where investors choose to switch their units,
 - * they shall not receive any refund of initial sales charge of the original unit trust;
 - * they acknowledge that it is not certain whether they would be in a better or worse position;
 - * the switch is subject to the provisions in the trust deed of the relevant Fund;
 - * they shall be entitled to the right to cancel the units in the switched-in Fund.

3. Realisation (Redemption)

- A) **General**
- i) All realisation must be in amounts of at least 100 units except for Schroder S\$ Reserve Fund where realisation must be in amounts of at least 10 units. For partial realisation, the unitholder must retain at least 100 units in the Fund.
 - ii) This Form must be signed by the Unitholder or all Unitholders unless the joint Unitholders had previously opted for a Joint-Alternate signatory mandate.
- B) **Payment**
- i) The proceeds of the realisation will be sent within 6 business days on receipt of this Form to the Unitholder or the Unitholder's CPFIS Agent Bank or the CPF Board or the Unitholder's SRS Operator, as the case may be.
A Contract Note indicating the number and price of units realised will also be sent to the Unitholder.
 - ii) For Joint Account Holders who had opted for Joint-Alternate signatory mandate, the cheque will be made payable to the Unitholder signing on this Form. For Joint-All Holders, the cheque will be made payable to the Unitholder whose name appears first in the register.

4 Dealing

- i) The cut-off time for dealing is 5.00 pm on dealing days.
- ii) Transaction requests received after 5.00 pm on any dealing day, if accepted, will be dealt with on the next dealing day. Prices for a dealing day are determined on the next dealing day.
- iii) Transaction requests, including requests made through facsimile, are irrevocable.

5 Fax Authority and Indemnity

By giving this fax authorisation, the investor:

- i) Authorises Schroders and RBC Dexia Investor Services Singapore Pte Limited, as registration agent, to act upon instructions received by fax. This fax authority shall remain in force until notice in writing of its termination is received by Schroders, and shall continue to apply to all dealings and transactions between Schroders and the investor in relation to all funds invested into by such investor.
 - ii) Agrees to indemnify Schroders and RBC Dexia Investor Services Singapore Pte Limited against all claims or losses suffered or incurred in respect of acting on instructions given by fax.
 - iii) Agrees to send the original Transaction Form by hand or post to Schroders for their records and retention.
- This fax authority does not apply to notifications of change of name, death or transfers, and the use of powers of attorney where original documentation must be sent by post to Schroders or the Registration Agent, RBC Dexia Investor Services Singapore Pte Limited, 20 Cecil Street, #28-01, Equity Plaza, Singapore 049705.

6 Monthly Investment Plan

- Minimum initial investment : \$1,000
- Minimum monthly investment : \$100
- Subscription date : 15th day of the month, or the next business day if the 15th is not a business day

7 Free PA Insurance

Investors of Schroder Singapore Trust and Schroder Pan Asia Fund are covered under a free Personal Accident Insurance Plan underwritten by the American International Assurance Co. Ltd (AIA) for a capital sum of 3 times the value of their investments on the date of accident, subject to a maximum sum insured of \$200,000 and in accordance with the policy terms issued by AIA.

Schroder Investment Management (Singapore) Ltd, 65 Chulia Street #46-00, OCBC Centre, Singapore 049513.

Customer Help Line: 1800-534-4288

Fax: 6536-6626

Reg. No. 199201080H

Oct 06

Please read the notes on the reverse before completing this Form



Cancellation Request Form

■ I/We wish to cancel my/our recent purchase of **SCHRODER** _____

Purchase Amount \$ _____

Date of Purchase _____

Purchased By Cheque CPF **OA** CPF **SA** SRS

■ **MAIN APPLICANT**

Name (Mr / Mrs / Ms) _____

NRIC / Passport No. _____

Company Reg. No. _____

Address _____

Postal Code _____

Telephone No. Home _____ Mobile/Office _____

■ **JOINT APPLICANT** (if any; cash application only)

Name (Mr / Mrs / Ms) _____

NRIC / Passport No. _____

Signing Instructions For Joint Account Joint-All Joint-Alternate

■ **CPF INVESTMENT ACCOUNT NO.** (OA only) _____

CPF Approved Bank (OA only) _____

CPF Account No. (OA or SA) _____

■ **SRS ACCOUNT NO.** (OA only) _____

SRS Operator _____

Main Applicant's Signature _____ Date _____

Joint Applicant's Signature _____ Date _____

For Schroders Use

Fund code

Value date

O/S docs

O/S payment

Code

Verified by

For Agent Use

Branch

Attended by

Checked by

IMPORTANT NOTES

Please read these notes before completing this Form

1 Right to cancel

- 1.1 All investors shall have the right to cancel their agreements to purchase units in a fund (a "Fund") that is constituted in Singapore and authorised by the Monetary Authority of Singapore (the "Authority") **EXCEPT** the following persons:
- (a) an investor who is not a natural person;
 - (b) an existing unitholder in a Fund who subsequently purchases units in that Fund after the expiry of the Cancellation Period (See Paragraph 1.2 below) of the first purchase of units; and
 - (c) a Monthly Investment Plan participant making a second or any subsequent payment for his units in the Fund.
- 1.2 An investor must exercise his right to cancel any agreement to purchase units within 7 calendar days from the date of purchase of those units (the "Cancellation Period").

2 Cancellation Requests

- 2.1 All Cancellation Requests must be made within the Cancellation Period through Schroders or through such other agent as Schroders may from time to time authorise to receive such Cancellation Requests. Cancellation Requests may either be served directly on or sent by post to Schroders. In the case where the Cancellation Request is sent by post, the relevant date for determining whether investors have exercised the right to cancel within the Cancellation Period shall be the date of the postmark or such other reasonable means as may be determined by Schroders.
- 2.2 No partial cancellation of agreements to purchase units will be allowed.
- 2.3 All Cancellation Requests must be made by way of this Cancellation Form and signed by the investor. In the case of joint applicants, both investors must sign the Cancellation Form unless a Joint-Alternate signatory mandate had previously been given to Schroders.
- 2.4 A Cancellation Request can only be processed if the distributor or Schroders has received the application monies from the investor or the investor's CPFIS Agent Bank or the CPF Board or the investor's SRS Operator.

3 Price of units at cancellation

- 3.1 Subject to the relevant provisions of the trust deed of the Fund, the amount to be refunded to the investor ("Cancellation Proceeds") pursuant to a Cancellation Request, if accepted, shall be calculated based on:
- (a) where a Cancellation Request is received by 5.00 pm on any dealing day of a Fund, the Net Asset Value per unit of that Fund on that dealing day;
 - (b) where a Cancellation Request is received after 5.00 pm on any dealing day of a Fund, the Net Asset Value per unit of that Fund on the next dealing day; and
 - (c) for new Funds where the subscription monies have not been invested, the initial offer price of the Fund.
- 3.2 The Cancellation Proceeds payable shall be less any reasonable expenses which Schroders or its appointed agents may incur in relation to the Cancellation Request but without any deduction of any front-end fees that may be applicable to the units of the Fund. Schroders reserves the right to impose an administrative charge for each Cancellation Request.
- 3.3 In the event that the Cancellation Proceeds exceed the original subscription amount invested, Schroders shall be entitled to retain such excess amount in the Fund.

4 Cancellation Proceeds

- 4.1 Where payment has been made for the purchase of units in a Fund, the investor shall receive the Cancellation Proceeds in accordance with the Code on Collective Investment Schemes in respect of payment of redemption proceeds subject to such modifications or exemptions as may be allowed by the Authority for the Fund after the day when the price of the units of the Fund first becomes available in accordance with paragraph 3.1 above.
- 4.2 Where payment for the purchase of units in a Fund is made using CPF or SRS monies, investors should note that the Cancellation Proceeds can be refunded to the relevant CPF or SRS accounts only after receipt of the subscription monies by Schroders from the relevant CPFIS Agent Bank, the CPF Board or the relevant SRS Operator.
- 4.3 Investors should note that where CPF/SRS monies are used to purchase units, costs may be involved when they exercise their right to cancel their purchase agreements. Such costs may include:
- (a) the potential loss of interest from their CPF/SRS accounts due to the withdrawal of monies for the purchase of units and the subsequent refund of Cancellation Proceeds. The process of withdrawal of subscription monies and subsequent refund of Cancellation Proceeds may take up to 30 calendar days or longer; and
 - (b) charges that may be levied by the CPF Board, CPFIS Agent Banks or SRS Operators.

5 Right to suspend cancellations / Limit on cancellations

Schroders shall have the right to suspend Cancellation Requests during any period when the right of unitholders of any Fund to require redemptions is suspended pursuant to the relevant provisions of the trust deed of the Fund. The number of units which may be cancelled and realised is at all times subject to the limit on realisation as provided in the trust deed of the relevant Fund.

6 Redemption and switching

- 6.1 During the Cancellation Period and where units in a Fund may be redeemed, investors may choose to redeem their units in the Fund instead of exercising their right to cancel the units. Where an investor elects to redeem his units in the Fund, the relevant redemption procedures of the Fund shall apply.
- 6.2 During the Cancellation Period investors may choose to either switch their units in a Fund for units in other Funds managed by Schroders or exercise their right to cancel their units of the original Fund. An investor is however not permitted to switch his units in a Fund if he has already chosen to exercise his right of cancellation in respect of the same units. Where an investor chooses to switch his units in a Fund, the switching provisions in the trust deed of the relevant Fund shall apply.